

Attorney's Docket No. 032231

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Pierre LEROY

Application No.: 09/927,933

Filed: August 13, 2001

For: NOVEL IMPLANT AND NOVEL

VECTOR FOR THE TREATMENT

OF ACQUIRED DISEASES

Group Art Unit: 2171

Examiner: Unassigned

# PETITION TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(a)

RECEIVED

JAN 2 9 2003

Assistant Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

Sir:

Applicant hereby petitions, pursuant to 37 C.F.R. § 1.48(a), to correct the inventorship of the above-identified application.

Pursuant to 37 C.F.R. § 1.48(a)(1), Applicant states that the correct inventive entity of the present application is Pierre Leroy and Majid Mehtali. Accordingly, Applicant requests that Majid Methali be added as a coinventor in the present application.

Pursuant to 37 C.F.R. § 1.48(a)(2), this petition is also accompanied by a statement of each person being added as an inventor that the error in inventorship occurred without deceptive intention on his part.

Pursuant to 37 C.F.R. § 1.48(a)(3), this petition is also accompanied by a Declaration by the actual inventors as required by 37 C.F.R. §1.63. The present application was filed under 37 C.F.R. § 1.53(b) as a continuation of Application No.

Filed 6 10 0 (SS

Application No. <u>09/927,933</u> Attorney's Docket No. <u>032751-066</u>

08/809,110. Accordingly the newly executed Declaration by the actual inventors as required by 37 C.F.R. §1.63(d)(iv) is a copy of the declaration filed concurrently with a petition under 37 C.F.R. § 1.48(a) in the parent application.

Pursuant to 37 C.F.R. § 1.48(a)(4), attached hereto is the fee set forth in 37 C.F.R. § 1.17(i).

Finally, pursuant to 37 C.F.R. § 1.48(a)(5), this petition is also accompanied by a document showing the written consent of the assignee.

In view of the above, Applicant hereby petitions that the inventive entity be changed from Pierre Leroy to Pierre Leroy and Majid Mehtali.

This Petition is accompanied by the fee set forth in 37 C.F.R. §1.17(i). No further fees are believed to be due by this paper. Nevertheless, the Commissioner is hereby authorized to charge any further fees under 37 C.F.R. §§1.116 and 1.117 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

Christopher L. North

Registration No. 50,433

Post Office Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

Date: June 10, 2002





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Pierre LEROY

Application No.: 09/927,933

Filed: August 13, 2001

For: NOVEL IMPLANT AND NOVEL

**VECTOR FOR THE TREATMENT** 

OF ACQUIRED DISEASES

Group Art Unit: 2171

Examiner: Unassigned

**DECLARATION OF MAJID MEHTALI** 

RECEIVED

Assistant Commissioner for Patents Washington, D.C. 20231

JAN 2 9 2003

Technology Center 2100

Sir:

I, Majid Mehtali, hereby declare that the erroneous omission of my name as an inventor of the above-cited application occurred without deceptive intention.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: April 8th 2002 By: Majid Mehtali

Filed L. 10.02 (SS)



032751-012 Attorney's Docket No.

# COMBINED DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## NOVEL IMPLANT AND NOVEL VECTOR FOR THE TREATMENT OF ACQUIRED DISEASES

| the specifica | tion of which (check only one item below): |          |                    |                 |
|---------------|--|----------|--------------------|-----------------|
| · .           | is attached hereto.                        |          | •                  |                 |
|               | was filed as United States application     |          | ,                  |                 |
|               | Numberand was amended                      | on<br>on |                    | (if applicable) |
| X             | was filed as PCT internstional application | <b>a</b> |                    |                 |
|               | Number PCT FR95/01171 and was amended      | on       | September 13, 1995 | (#1 1           |
|               |  |          |                    |                 |

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §§119 (a)-(d), 172 or 365 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

| COUNTRY (if PCT, indicate "PCT") | APPLICATION NUMBER 94 10911 | DATE OF FILING (day, month, year) | PRIORITY CLAIMED<br>UNDER 35 U.S.C. §§119<br>172 or 365 |   |
|----------------------------------|-----------------------------|-----------------------------------|---|---|
| France                           |                             | 13/09/1994                        | X Yes   | N |
|                                  |                             |                                   | Yes   | N |
|                                  |                             | in .                              | Yes   | N |
|                                  |                             | <u> </u>                          | Ycs   | N |
|                                  |                             |                                   | Yes   | N |

Combined Declaration and Power of Attorney for Utility or Design Patent Application Attorney's Docket No. <u>032751-012</u>
Page 2 of 2

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

| William L. Mantis Robert S. Swecker Plann N. Mandros Bemon S. Duffett, Jr. Norman H. Stepno Ronald L. Grudziecki Prederick G. Michaud, Jr. Alan E. Kopendi Rogis E. Slutter Samuel C. Miller, III Robert G. Mukai George A. Hovanee, Jr. James A. LaBarre B. Joseph Gess R. Danny Huntington | 17,337<br>19,885<br>22,124<br>22,030<br>22,716<br>24,970<br>26,003<br>25,813<br>26,999<br>27,360<br>28,531<br>28,23<br>28,632<br>28,632<br>28,632 | Eric H. Weisblan James W. Peterson Terein Sunck Rea Robert E. Krebs William C. Rowland T. Gene Dillahunty Parick C. Kenne B. Jefferson Boggs. Jr. William H. Benz Peter K. Skiff Richard J. McGrath Manthew L. Schmeider Michael G. Savage Gerald F. Swiss Charter F. Wieland III | 30,505<br>26,057<br>30,427<br>25,885<br>30,888<br>25,423<br>32,858<br>32,344<br>23,952<br>31,917<br>29,195<br>32,814<br>32,596<br>30,113<br>33,096 | Bruce T. Wieder Todd R. Welters Ronni S. Jillions Harold R. Brown III Allen R. Brum Brian P. O'Shaughnessy Kenneth B. Leffler Fred W. Hafnavay Wendi L. Weinstein Mary Ann Dillahunty Donna M. Meuth Mark R. Kresloff  21839 | 33,815<br>34,040<br>31,979<br>36,341<br>36,086<br>32,747<br>36,075<br>32,236<br>34,456<br>34,576<br>34,576<br>42,766 |
|--|---|---|--|--|--|
|--|---|---|--|--|--|

and: Christopher L. North, Ph.D., Reg. No. 50,433

Address all correspondence to:



21839

Norman H. Stepho BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404

Address all telephone calls to: Christopher L. North at (703) 836-6620.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| FULL NAME OF SOLE OR FIRST INVENTOR | Pierre LEROY                  |
|-------------------------------------|-------------------------------|
| Signature                           | Mr Piene LERDY                |
| Date                                | May 29th, 2002                |
| Residence (City, State, Country)    | Ernolsheim-lès-Saverne        |
| Citizenshlp                         | France                        |
| Mailing Address                     | 122, rue Principale           |
| City, State, ZIP, Country           | Erndsheim-lès-Saverne, France |
| FULL NAME SECOND INVENTOR, IF ANY   | Majid MEHTALI                 |
| Signature                           | Achtali                       |
| Date                                | May 237, 2002                 |
| Residence (City, State, Country)    | Plobsheim, France             |
| Citizenship                         | France                        |
| Mailing Address                     | 12 rue du General Leclerc     |
| City, State, ZIP, Country           | 67115 Plobsheim, France       |



### Attorney's Docket No. 032751-012 and 032751-066

# STATEMENT UNDER 37 C.F.R. § 3.73(b)

| Apı  | plicant/                               | Paten  | t Owner: Transgene S.A.  |   |  |  |  |  |
|--|--|--|--|---|--|--|--|--|
| App  | Application No./Patent No.: 08/809,110 |  |  | Filed/Issue Date: March 31, 1997  |  |  |  |  |
| and Application No./Patent No.: 09/927,933 |  |  | No./Patent No.: 09/927,933   | Filed/Issue Date: August 13, 2001   |  |  |  |  |
| Ent  | itled:                                 | NO   | VEL IMPLANT AND NOVEL VECTO  | OR FOR THE TREATMENT OF ACQUIRED DISEASES   |  |  |  |  |
|  | <u>.</u>                               |  |  | RANSGENE S.A.   |  |  |  |  |
| а  |  |  |  | lame of Assignee)   |  |  |  |  |
| <u> </u>                                   |  | (°   | Type of Assignee, e.g., corporation  | corporation n, partnership, university, government agency, etc.)  |  |  |  |  |
| stat                                       | es that                                | it is:   |  | ·   |  |  |  |  |
| 1.   | [X]                                    | the  | assignee of the entire right, title, a   | and interest; or  |  |  |  |  |
| 2.   | []                                     | an a<br>eith   | an assignee of an undivided part interest in the patent application/patent identified above by virtue of either: |   |  |  |  |  |
| Α.   | [X]                                    | An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 8533 , Frame 0703 , or for which a copy thereof is attached. |  |   |  |  |  |  |
| OR   |  |  | •  |   |  |  |  |  |
| В.   | [ ]                                    | A class  | chain of title from the inventor(s), o<br>shown below:   | f the patent application identified above, to the current assignee  |  |  |  |  |
|  |  | 1.   | From:  | To:   |  |  |  |  |
|  |  |  | Reel, Frame  | he Patent and Trademark Office at<br>, or for which a copy thereof is attached.   |  |  |  |  |
|  |  | 2.   | From:  | То:   |  |  |  |  |
|  | •                                      |  | Reel, Frame  | he Patent and Trademark Office at<br>, or for which a copy thereof is attached.   |  |  |  |  |
|  |  | 3.   | From:  | To:   |  |  |  |  |
|  |  |  | Reel, Frame,   | he Patent and Trademark Office at<br>, or for which a copy thereof is attached.   |  |  |  |  |
|  | •                                      | [ ]  |  | ain of title are listed on a supplemental sheet.  |  |  |  |  |
|  | []                                     | docu   | <u>ITE</u> : A separate copy (i.e., the orig<br>ument) must be submitted to Assid                                | nents in the chain of title are attached.  inal assignment document or a true copy of the original gramment Division in accordance with 37 CFR Part 3, if the cords of the PTO. See MPEP 302-302.8] |  |  |  |  |
| The  | undersi                                | igned  | (whose title is supplied below) is e   | empowered to sign this statement on behalf of the assignee.   |  |  |  |  |
| S<br>Date                                  |  | ourg   | ,11.04.02  | _ Gills Belose  |  |  |  |  |
| -  |  |  |  | Signature   |  |  |  |  |
|  |  |  |  | Gilles BELANGER   |  |  |  |  |
|  |  |  |  | Typed or printed name Chief Executive Officer.  |  |  |  |  |
|  | •                                      |  |  | Title   |  |  |  |  |





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Pierre LEROY

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For: NOVEL IMPLANT AND NOVEL

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OF ACQUIRED DISEASES

Group Art Unit: 2171

Examiner: Unassigned

# CONSENT OF ASSIGNEE TO CHANGE IN INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(a)(4)

RECEIVED

Assistant Commissioner for Patents Washington, D.C. 20231

JAN 2 9 2003

Sir:

**Technology Center 2100** 

Assignee TRANSGENE S.A. hereby consents to amendment of the inventorship of the above-identified application by adding Majid Mehtali as coinventor in the present application with Pierre Leroy.

| Strasbourg 11.04.02 | - Glb belane             |   |
|---------------------|--------------------------|---|
| Date                | Signed                   |   |
|                     |                          |   |
|                     | Gilles BELANGER          |   |
| ·                   | Typed or Printed Name    |   |
|                     | Chief Executive Officer. |   |
|                     | Title                    | _ |